SELECT COMMITTEE ON INTELLIGENCE

UNITED STATES SENATE



QUESTIONNAIRE FOR COMPLETION BY PRESIDENTIAL NOMINEES

SELECT COMMITTEE ON INTELLIGENCE UNITED STATES SENATE

QUESTIONNAIRE FOR COMPLETION BY PRESIDENTIAL NOMINEES

PART A - BIOGRAPHICAL INFORMATION

1.	NAME:	Priscilla Elizabeth Guthrie	

- 2. DATE AND PLACE OF BIRTH: <u>9/27/49 Ann Arbor, MI, USA</u>
- 3. MARITAL STATUS: Single
- 4. SPOUSE'S NAME: <u>Not Applicable</u>
- 5. SPOUSE'S MAIDEN NAME IF APPLICABLE: <u>Not Applicable</u>
- 6. NAMES AND AGES OF CHILDREN:
 - NAME

<u>AGE</u>

None

7. EDUCATION SINCE HIGH SCHOOL:

INSTITUTION	DATES ATTENDED	DEGREE RECEIVED	DATE OF DEGREE
Pennsylvania State University	1967 - 1971	B.S.E.E	1971
Marymount College	1983 - 1984	M.B.A	1984
Thunderbird School of Global	1995		
Management			

8. EMPLOYMENT RECORD (LIST ALL POSITIONS HELD SINCE COLLEGE, INCLUDING MILITARY SERVICE. INDICATE NAME OF EMPLOYER, POSITION, TITLE OR DESCRIPTION, LOCATION, AND DATES OF EMPLOYMENT.)

EMPLOYER TRW Inc.	POSITION/TITLE Data Analyst, Waterwheel	LOCATION Virginia	<u>DATES</u> 1972
TRW Inc.	Member of the Technical Staff, Washington Operations	Virginia	1972 – 1975
TRW Inc.	Section Head, Signal Processing Washington Operations	Virginia	1975 – 1978
TRW Inc.	Section Head, System Engineering Washington Operations	Virginia	1978 – 1980

TRW Inc.	Service Center Manager Washington Operations	Virginia	1980 – 1984
TRW Inc.	Systems Software Laboratory Mana Federal Systems Group	nger Virginia	1984 – 1985
TRW Inc.	Program Manager Federal Systems Group	Virginia	1985 – 1987
TRW Inc.	Director, Navy Systems Dev LOB Federal Systems Group	Virginia	1986 – 1990
TRW Inc.	Director, North American Operatio Automotive Aftermarket	ns Ohio	1990 – 1992
TRW Inc.	Deputy Program Manager Systems Integration Group	Virginia	1993 – 1994
TRW Inc.	Program Manager Systems Integration Group	Virginia	1994 – 1998
TRW Inc.	VP & GM Federal Enterprise Services Systems Integration Group	Virginia	1998 – 1999
TRW Inc.	VP & GM Commercial Market Are System Integration Group	ea Virginia	1999 – 2000
TRW Inc.	VP E-Business TRW Inc.	Michigan	2000 - 2001
Department of Defense	Deputy Assistant Secretary of Defense (Deputy CIO)	Virginia (Pentagon)	2001 – 2006
Institute for Defense Analyses	Information Technology and Systems Division Director	Virginia	2007 - Present

9. GOVERNMENT EXPERIENCE (INDICATE EXPERIENCE IN OR ASSOCIATION WITH FEDERAL, STATE, OR LOCAL GOVERNMENTS, INCLUDING ADVISORY, CONSULTATIVE, HONORARY, OR OTHER PART-TIME SERVICE OR POSITION. DO NOT REPEAT INFORMATION ALREADY PROVIDED IN QUESTION 8):

USSTRATCOM Strategic Advisory Group (DoD)	Special Government Employee	2007 - Present
Defense Science Board Task Forces	Special Government Employee	2007 - Present

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10. INDICATE ANY SPECIALIZED INTELLIGENCE OR NATIONAL SECURITY EXPERTISE YOU HAVE ACQUIRED HAVING SERVED IN THE POSITIONS DESCRIBED IN QUESTIONS 8 AND/OR 9.

I worked with the Defense Intelligence agencies as part of the Department of Defense (DoD) Communications, Command, Control, and Intelligence (C3I) organization from 2001 to 2004. From 2003 – 2006, I worked closely with the National Security Agency (NSA) on the development of a security architecture for the

enterprise information environment (Global Information Grid Information Assurance Architecture). I chair the Cyber Panel for the USSTRATCOM Strategic Advisory Group (SAG). Cyber is one of USSTRATCOM's three primary missions. The Cyber Panel provides advice to the Commander, USSTRATCOM on topics of interest such as forces, effects, and deterrence. I supported a Defense Science Board Task Force in developing a report on "Integrating Sensor-Collected Intelligence." In my current position, I am responsible for two tasks that support the ODNI and several tasks that focus on cyber operations. As part of my work on the USSTRATCOM SAG, I also recently chaired a Nuclear Command and Control (NC2) review in support of the NSA Advisory Board.

11. HONORS AND AWARDS (PROVIDE INFORMATION ON SCHOLARSHIPS, FELLOWSHIPS, HONORARY DEGREES, MILITARY DECORATIONS, CIVILIAN SERVICE CITATIONS, OR ANY OTHER SPECIAL RECOGNITION FOR OUTSTANDING PERFORMANCE OR ACHIEVEMENT):

Penn State Distinguished Alumna - 2006 Penn State Alumni Fellow - 2003 Outstanding Engineering Alum - 2001, Penn State Commencement Speaker, May 2000, Penn State College of Engineering

12. ORGANIZATIONAL AFFILIATIONS (LIST MEMBERSHIPS IN AND OFFICES HELD WITHIN THE LAST TEN YEARS IN ANY PROFESSIONAL, CIVIC, FRATERNAL, BUSINESS, SCHOLARLY, CULTURAL, CHARITABLE, OR OTHER SIMILAR ORGANIZATIONS):

ORGANIZATION	OFFICE HELD	DATES
Fairfax Symphony Orchestra	Board Member	1999 - 2000
Northern Virginia Technology		
Council	Board Member	1999 - 2000
Original Equipment Suppliers		
Assoc – Aero Ind Assoc	Board Member	2000 - 2001
Covisint	Technical Advisory Board	2000 - 2001
Penn State Engineering Society	Member	mid 90s – 2000
Penn State Women in Engineering	Member/Chair	mid 90s – 2001
Dean's Advisory Board		
Penn State Leonhard Center	Member, Vice Chair,	
Dean's Advisory Board	Chair	2005 - Present
Marymount University MBA		
Alumni Association	Advisory Board Member	2004
Marymount University	8	
Graduate Dean's Advisory Board	Member/Exec Comm	2005 - Present
Falls Church Habitat Restoration		
Task Force	Member	2007 - Present

13. PUBLISHED WRITINGS AND SPEECHES (LIST THE TITLES, PUBLISHERS, AND PUBLICATION DATES OF ANY BOOKS, ARTICLES, REPORTS, OR OTHER PUBLISHED MATERIALS YOU HAVE AUTHORED. ALSO LIST ANY PUBLIC SPEECHES YOU HAVE MADE WITHIN THE LAST TEN YEARS FOR WHICH THERE IS A TEXT OR TRANSCRIPT. TO THE EXTENT POSSIBLE, PLEASE PROVIDE A COPY OF EACH SUCH PUBLICATION, TEXT, OR TRANSCRIPT):

Speech, Cyber Conference, Omaha, NE, April 9, 2009.

Speaker, Cyber AFCEA Cyber Symposium, Omaha, NE, April 7 – 8, 2009.

Contributor, Report of the Joint Defense Science Board/Intelligence Science Board Task Force on Integrating Sensor-Collected Intelligence, December 2008.

Speech, Society of Women Engineers, Engineering Journeys From an Old Lady Engineer, State College, PA, March 2008.

Panel Participant, Women in Technology, Information Sharing, Tysons Corner, VA, June 2007.

Speech, Marymount University, Arlington, VA. February 2007. The Exciting, Evolving World of IT in the 21st Century.

Speech, Fort Meade, IA Component of the GIG Architecture, June 2006.

Speech, C&A Conference DoD/DNI CIOs. June 2006.

Speech, Multinational Operations Conference, May 2006.

Speech, AFEI: Trusted Information Sharing, May 2006. DoD/MoD.

Speech, Federal Networks Conference, Tyson's Corner, VA. DoD Update. February 2006.

Speech, AFEI: Transforming DoD ERP Programs, Virginia. October 2005.

Speech, DoD/DHS Conference, Assured Information Sharing, October 2005.

Speech, Systems and Software Technology Conference, Salt Lake City, UT. Net-centric Vision. April 2005.

Panel Participant, NDIA Net-centric Operations, Interoperability & Systems Integration Conference in Norfolk, VA. The Global Information Grid: Enabling the Transformation to Net-Centric Operations. March 21, 2005.

Panel Participant, GEIA Conference, Implications for Enterprise Governance of SOA and Composability, March 2005.

Speech, Women in Engineering Program, Penn State, May 2004.

Speech, ITAA Federal Committee, DoD Vision, May 2004.

Speech, Federal Networks Conference, Tyson's Corner, VA. DoD Update. March 2003.

Speech, Penn State College of Engineering Commencement Address, May 2000.

Published Work, <u>Tackling the Engineering Resources Shortage: Creating New Paradigms for Developing and</u> <u>Retaining Women Engineers</u>. Editors: B. Bogue, P. Guthrie, B. Lazarus, S. Hadden. Publisher: SPIE Society of Photo-Optical Instrumentation Engineers, 31 Dec 1999.

Congressional Report, Year 2000 Problem and Telecommunications Systems Hearing, Committee on Ways and Means, House of Representatives, Subcommittee on Oversight, June 16, 1998. http://74.125.47.132/search?q=cache:4nI63b39-

aAJ:bulk.resource.org/gpo.gov/hearings/105h/51590.pdf+Y2K+H.R.+committee+oversight+Priscilla+Guthrie&hl=en&ct=clnk&cd=1&gl=us&lr=lang_en&client=firefox-a

Published Work, <u>Processing for Multipath Effects</u>, Rodgers, George F.; Guthrie, Priscilla E., The Journal of the Acoustical Society of America, Volume 69, Issue S1, April 15, 1981.

In addition to the speeches and publications listed above, as DOD Deputy CIO, I gave technical talks on architecture, cyber, and information assurance. I have also given talks on engineering as a profession, including a few focused on women in engineering. I have not been able to identify the dates of these talks or locate transcripts.

PART B - QUALIFICATIONS

14. QUALIFICATIONS (DESCRIBE WHY YOU BELIEVE YOU ARE QUALIFIED TO SERVE IN THE POSITION FOR WHICH YOU HAVE BEEN NOMINATED):

Information sharing is a priority – making data visible, accessible and understandable to authorized users – to enhance situational awareness. Under my leadership, DoD established a data strategy, an approach to leveraging services and an information assurance architecture to make assured information sharing possible. DNI has largely embraced the DoD approach. The focus must turn to implementation. I have experience implementing technology-based solutions in large, complex organizations.

PART C - POLITICAL AND FOREIGN AFFILIATIONS

15. POLITICAL ACTIVITIES (LIST ANY MEMBERSHIPS OR OFFICES HELD IN OR FINANCIAL CONTRIBUTIONS OR SERVICES RENDERED TO, ANY POLITICAL PARTY, ELECTION COMMITTEE, POLITICAL ACTION COMMITTEE, OR INDIVIDUAL CANDIDATE DURING THE LAST TEN YEARS):

Contributions to:

Emily's List \$1000 - 2000, \$1000 - 2001, \$1000 - 2004, \$1000 - 2006, \$1300 - 2007, \$1000 - 2008/2009 Democratic Senatorial Campaign Committee \$1000 - 2004, \$1000 - 2006, \$250 - 2007, \$250 - 2008 Obama for America \$500 - 2008 Democratic National Committee \$500 - 2004, \$300 - 2007

16. CANDIDACY FOR PUBLIC OFFICE (FURNISH DETAILS OF ANY CANDIDACY FOR ELECTIVE PUBLIC OFFICE):

Not Applicable.

17. FOREIGN AFFILIATIONS

(NOTE: QUESTIONS 17A AND B ARE NOT LIMITED TO RELATIONSHIPS REQUIRING REGISTRATION UNDER THE FOREIGN AGENTS REGISTRATION ACT. QUESTIONS 17A, B, AND C DO NOT CALL FOR A POSITIVE RESPONSE IF THE REPRESENTATION OR TRANSACTION WAS AUTHORIZED BY THE UNITED STATES GOVERNMENT IN CONNECTION WITH YOUR OR YOUR SPOUSE'S EMPLOYMENT IN GOVERNMENT SERVICE.)

A. HAVE YOU OR YOUR SPOUSE EVER REPRESENTED IN ANY CAPACITY (E.G. EMPLOYEE, ATTORNEY, OR POLITICAL/BUSINESS CONSULTANT), WITH OR WITHOUT COMPENSATION, A FOREIGN GOVERNMENT OR AN ENTITY CONTROLLED BY A FOREIGN GOVERNMENT? IF SO, PLEASE FULLY DESCRIBE SUCH RELATIONSHIP.

No.

B. HAVE ANY OF YOUR OR YOUR SPOUSE'S ASSOCIATES REPRESENTED, IN ANY CAPACITY, WITH OR WITHOUT COMPENSATION, A FOREIGN GOVERNMENT OR AN ENTITY CONTROLLED BY A FOREIGN GOVERNMENT? IF SO, PLEASE FULLY DESCRIBE SUCH RELATIONSHIP.

TRW had business with foreign governments, but I was not personally involved in any of these interactions.

C. DURING THE PAST TEN YEARS, HAVE YOU OR YOUR SPOUSE RECEIVED ANY COMPENSATION FROM, OR BEEN INVOLVED IN ANY FINANCIAL OR BUSINESS TRANSACTIONS WITH A FOREIGN GOVERNMENT OR ANY ENTITY CONTROLLED BY A FOREIGN GOVERNMENT? IF SO, PLEASE PROVIDE DETAILS. D. HAVE YOU OR YOUR SPOUSE EVER REGISTERED UNDER THE FOREIGN AGENTS REGISTRATION ACT? IF SO, PLEASE PROVIDE DETAILS.

No.

18. DESCRIBE ANY LOBBYING ACTIVITY DURING THE PAST TEN YEARS, OTHER THAN IN AN OFFICIAL U.S. GOVERNMENT CAPACITY, IN WHICH YOU OR YOUR SPOUSE HAVE ENGAGED FOR THE PURPOSE OF DIRECTLY OR INDIRECTLY INFLUENCING THE PASSAGE, DEFEAT, OR MODIFICATION OF FEDERAL LEGISLATION, OR FOR THE PURPOSE OF AFFECTING THE ADMINISTRATION AND EXECUTION OF FEDERAL LAW OR PUBLIC POLICY.

None.

PART D - FINANCIAL DISCLOSURE AND CONFLICT OF INTEREST

19. DESCRIBE ANY EMPLOYMENT, BUSINESS RELATIONSHIP, FINANCIAL TRANSACTION, INVESTMENT, ASSOCIATION, OR ACTIVITY (INCLUDING, BUT NOT LIMITED TO, DEALINGS WITH THE FEDERAL GOVERNMENT ON YOUR OWN BEHALF OR ON BEHALF OF A CLIENT), WHICH COULD CREATE, OR APPEAR TO CREATE, A CONFLICT OF INTEREST IN THE POSITION TO WHICH YOU HAVE BEEN NOMINATED.

In connection with the nomination process, I have consulted with the Office of Government Ethics and the Office of the Director of National Intelligence's designated agency ethics official to identify potential conflicts of interest. Any potential conflicts of interest will be resolved in accordance with the terms of an ethics agreement that I have entered into with ODNI's designated agency ethics official and that has been provided to this Committee. I am not aware of any other potential conflicts of interest.

20. DO YOU INTEND TO SEVER ALL BUSINESS CONNECTIONS WITH YOUR PRESENT EMPLOYERS, FIRMS, BUSINESS ASSOCIATES AND/OR PARTNERSHIPS, OR OTHER ORGANIZATIONS IN THE EVENT THAT YOU ARE CONFIRMED BY THE SENATE? IF NOT, PLEASE EXPLAIN.

Yes.

21. DESCRIBE THE FINANCIAL ARRANGEMENTS YOU HAVE MADE OR PLAN TO MAKE, IF YOU ARE CONFIRMED, IN CONNECTION WITH SEVERANCE FROM YOUR CURRENT POSITION. PLEASE INCLUDE SEVERANCE PAY, PENSION RIGHTS, STOCK OPTIONS, DEFERRED INCOME ARRANGEMENTS, AND ANY AND ALL COMPENSATION THAT WILL OR MIGHT BE RECEIVED IN THE FUTURE AS A RESULT OF YOUR CURRENT BUSINESS OR PROFESSIONAL RELATIONSHIPS.

I expect to sever my relationship with my current employer and roll the assets in my IDA tax deferred annuity and qualified retirement plans into non-conflicting assets.

22. DO YOU HAVE ANY PLANS, COMMITMENTS, OR AGREEMENTS TO PURSUE OUTSIDE EMPLOYMENT, WITH OR WITHOUT COMPENSATION, DURING YOUR SERVICE WITH THE GOVERNMENT? IF SO, PLEASE PROVIDE DETAILS.

No.

23. AS FAR AS CAN BE FORESEEN, STATE YOUR PLANS AFTER COMPLETING GOVERNMENT SERVICE. PLEASE SPECIFICALLY DESCRIBE ANY AGREEMENTS OR UNDERSTANDINGS, WRITTEN OR UNWRITTEN, CONCERNING EMPLOYMENT AFTER LEAVING GOVERNMENT SERVICE. IN PARTICULAR, DESCRIBE ANY AGREEMENTS, UNDERSTANDINGS, OR OPTIONS TO RETURN TO YOUR CURRENT POSITION.

No.

I have no agreements for post-government employment with my current employer or any other organization.

24. IF YOU ARE PRESENTLY IN GOVERNMENT SERVICE, DURING THE PAST FIVE YEARS OF SUCH SERVICE, HAVE YOU RECEIVED FROM A PERSON OUTSIDE OF GOVERNMENT AN OFFER OR EXPRESSION OF INTEREST TO EMPLOY YOUR SERVICES AFTER YOU LEAVE GOVERNMENT SERVICE? IF YES, PLEASE PROVIDE DETAILS.

Not Applicable.

25. IS YOUR SPOUSE EMPLOYED? IF YES AND THE NATURE OF THIS EMPLOYMENT IS RELATED IN ANY WAY TO THE POSITION FOR WHICH YOU ARE SEEKING CONFIRMATION, PLEASE INDICATE YOUR SPOUSE'S EMPLOYER, THE POSITION, AND THE LENGTH OF TIME THE POSITION HAS BEEN HELD. IF YOUR SPOUSE'S EMPLOYMENT IS NOT RELATED TO THE POSITION TO WHICH YOU HAVE BEEN NOMINATED, PLEASE SO STATE.

I am not married. I have a partner who periodically has worked as a contractor for an intelligence agency, but not in the past two years. His work was in information services; there was/is no connection with the position for which I am seeking confirmation.

26. LIST BELOW ALL CORPORATIONS, PARTNERSHIPS, FOUNDATIONS, TRUSTS, OR OTHER ENTITIES TOWARD WHICH YOU OR YOUR SPOUSE HAVE FIDUCIARY OBLIGATIONS OR IN WHICH YOU OR YOUR SPOUSE HAVE HELD DIRECTORSHIPS OR OTHER POSITIONS OF TRUST DURING THE PAST FIVE YEARS.

NAME OF ENTITY	POSITION	DATES HELD	SELF OR SPOUSE

None.

27. LIST ALL GIFTS EXCEEDING \$100 IN VALUE RECEIVED DURING THE PAST FIVE YEARS BY YOU, YOUR SPOUSE, OR YOUR DEPENDENTS. (NOTE: GIFTS RECEIVED FROM RELATIVES AND GIFTS GIVEN TO YOUR SPOUSE OR DEPENDENT NEED NOT BE INCLUDED UNLESS THE GIFT WAS GIVEN WITH YOUR KNOWLEDGE AND ACQUIESCENCE AND YOU HAD REASON TO BELIEVE THE GIFT WAS GIVEN BECAUSE OF YOUR OFFICIAL POSITION.)

Electronic devices as gifts from my partner: three computers (two laptops and one desktop), Kindle, iPod, iPhone

28. LIST ALL SECURITIES, REAL PROPERTY, PARTNERSHIP INTERESTS, OR OTHER INVESTMENTS OR RECEIVABLES WITH A CURRENT MARKET VALUE (OR, IF MARKET VALUE IS NOT ASCERTAINABLE, ESTIMATED CURRENT FAIR VALUE) IN EXCESS OF \$1,000. (NOTE: THE INFORMATION PROVIDED IN RESPONSE TO SCHEDULE A OF THE DISCLOSURE FORMS OF THE OFFICE OF GOVERNMENT ETHICS MAY BE INCORPORATED BY REFERENCE, PROVIDED THAT CURRENT VALUATIONS ARE USED.)

E METHOD OF VALUATION
00 Current assessment

29. LIST ALL LOANS OR OTHER INDEBTEDNESS (INCLUDING ANY CONTINGENT LIABILITIES) IN EXCESS OF \$10,000. EXCLUDE A MORTGAGE ON YOUR PERSONAL RESIDENCE UNLESS IT IS RENTED OUT, AND LOANS SECURED BY AUTOMOBILES, HOUSEHOLD FURNITURE, OR APPLIANCES. (NOTE: THE INFORMATION PROVIDED IN RESPONSE TO SCHEDULE C OF THE DISCLOSURE FORM OF THE OFFICE OF GOVERNMENT ETHICS MAY BE INCORPORATED BY REFERENCE, PROVIDED THAT CONTINGENT LIABILITIES ARE ALSO INCLUDED.) NATURE OF OBLIGATION

NAME OF OBLIGEE

AMOUNT

None.

30. ARE YOU OR YOUR SPOUSE NOW IN DEFAULT ON ANY LOAN, DEBT, OR OTHER FINANCIAL OBLIGATION? HAVE YOU OR YOUR SPOUSE BEEN IN DEFAULT ON ANY LOAN, DEBT, OR OTHER FINANCIAL OBLIGATION IN THE PAST TEN YEARS? HAVE YOU OR YOUR SPOUSE EVER BEEN REFUSED CREDIT OR HAD A LOAN APPLICATION DENIED? IF THE ANSWER TO ANY OF THESE QUESTIONS IS YES, PLEASE PROVIDE DETAILS.

No.

31. LIST THE SPECIFIC SOURCES AND AMOUNTS OF ALL INCOME RECEIVED DURING THE LAST FIVE YEARS, INCLUDING ALL SALARIES, FEES, DIVIDENDS, INTEREST, GIFTS, RENTS, ROYALTIES, PATENTS, HONORARIA, AND OTHER ITEMS EXCEEDING \$200. (COPIES OF U.S. INCOME TAX RETURNS FOR THESE YEARS MAY BE SUBSTITUTED HERE, BUT THEIR SUBMISSION IS NOT REQUIRED.)

2004	2005	2006	2007	2008
	Red	acted		

32. IF ASKED, WILL YOU PROVIDE THE COMMITTEE WITH COPIES OF YOUR AND YOUR SPOUSE'S FEDERAL INCOME TAX RETURNS FOR THE PAST THREE YEARS?

Yes.

33. LIST ALL JURISDICTIONS IN WHICH YOU AND YOUR SPOUSE FILE ANNUAL INCOME TAX RETURNS.

Falls Church, Virginia

34. HAVE YOUR FEDERAL OR STATE TAX RETURNS BEEN THE SUBJECT OF AN AUDIT, INVESTIGATION, OR INQUIRY AT ANY TIME? IF SO, PLEASE PROVIDE DETAILS, INCLUDING THE RESULT OF ANY SUCH PROCEEDING.

In 2003, I was informed by the IRS and Virginia Department of Taxation that I owed back taxes and interest on my 2001 tax returns. The discrepancy arose because I had inadvertently failed to include information from two Form 1099s, which I did not receive after moving from Michigan to Virginia in 2001. Upon being notified of my error, I paid all required taxes and interest, including \$2,133 to the IRS and \$156 to Virginia.

In 2004 and 2005, I was informed by the IRS and the Virginia Department of Taxation that I owed back taxes and interest on my 2002 tax returns. The tax authorities believed that I had failed to report the value of my company car as compensation in 2002. This issue stemmed from a paperwork oversight on the part of my employer, TRW, Inc. — I had purchased my company car from TRW in 2001, but in 2004, after Northrop Grumman purchased TRW, Northrop reported the car to the IRS. Nevertheless, upon receiving notifications from the IRS and Virginia, I paid all required taxes and interest, including a total of \$2,563 to the IRS and \$1,904 to Virginia.

35. IF YOU ARE AN ATTORNEY, ACCOUNTANT, OR OTHER PROFESSIONAL, PLEASE LIST ALL CLIENTS AND CUSTOMERS WHOM YOU BILLED MORE THAN \$200 WORTH OF SERVICES DURING THE PAST FIVE YEARS. ALSO, LIST ALL JURISDICTIONS IN WHICH YOU ARE LICENSED TO PRACTICE.

N/A

36. DO YOU INTEND TO PLACE YOUR FINANCIAL HOLDINGS AND THOSE OF YOUR SPOUSE AND DEPENDENT MEMBERS OF YOUR IMMEDIATE HOUSEHOLD IN A BLIND TRUST? IF YES, PLEASE FURNISH DETAILS. IF NO, DESCRIBE OTHER ARRANGEMENTS FOR AVOIDING ANY POTENTIAL CONFLICTS OF INTEREST.

No. I do not believe I have financial holdings that could create a conflict of interest. In connection with the nomination process, I have consulted with the Office of Government Ethics and the Office of the Director of National Intelligence's designated agency ethics official to identify potential conflicts of interest. Any potential conflicts of interest will be resolved in accordance with the terms of an ethics agreement that I have entered into with ODNI's designated agency ethics official and that has been provided to this Committee.

37. IF APPLICABLE, ATTACH THE LAST THREE YEARS OF ANNUAL FINANCIAL DISCLOSURE FORMS YOU HAVE BEEN REQUIRED TO FILE WITH YOUR AGENCY, DEPARTMENT, OR BRANCH OF GOVERNMENT.

Attached.

PART E - ETHICAL MATTERS

38. HAVE YOU EVER BEEN THE SUBJECT OF A DISCIPLINARY PROCEEDING OR CITED FOR A BREACH OF ETHICS OR UNPROFESSIONAL CONDUCT BY, OR BEEN THE SUBJECT OF A COMPLAINT TO, ANY COURT, ADMINISTRATIVE AGENCY, PROFESSIONAL ASSOCIATION, DISCIPLINARY COMMITTEE, OR OTHER PROFESSIONAL GROUP? IF SO, PROVIDE DETAILS.

No.

39. HAVE YOU EVER BEEN INVESTIGATED, HELD, ARRESTED, OR CHARGED BY ANY FEDERAL, STATE, OR OTHER LAW ENFORCEMENT AUTHORITY FOR VIOLATION OF ANY FEDERAL STATE, COUNTY, OR MUNICIPAL LAW, REGULATION, OR ORDINANCE, OTHER THAN A MINOR TRAFFIC OFFENSE, OR NAMED AS A DEFENDANT OR OTHERWISE IN ANY INDICTMENT OR INFORMATION RELATING TO SUCH VIOLATION? IF SO, PROVIDE DETAILS.

I was arrested in 1973 and initially charged with possession of a controlled substance with intent to distribute. The charge was later reduced to possession of marijuana, a misdemeanor. I pled guilty to the misdemeanor charge and received a one-year suspended sentence.

40. HAVE YOU EVER BEEN CONVICTED OF OR ENTERED A PLEA OF GUILTY OR NOLO CONTENDERE TO ANY CRIMINAL VIOLATION OTHER THAN A MINOR TRAFFIC OFFENSE? IF SO, PROVIDE DETAILS.

Please see the response to Question 39.

41. ARE YOU PRESENTLY OR HAVE YOU EVER BEEN A PARTY IN INTEREST IN ANY ADMINISTRATIVE AGENCY PROCEEDING OR CIVIL LITIGATION? IF SO, PLEASE PROVIDE DETAILS.

No.

42. HAVE YOU BEEN INTERVIEWED OR ASKED TO SUPPLY ANY INFORMATION AS A WITNESS OR OTHERWISE IN CONNECTION WITH ANY CONGRESSIONAL INVESTIGATION, FEDERAL, OR STATE AGENCY PROCEEDING, GRAND JURY INVESTIGATION, OR CRIMINAL OR CIVIL LITIGATION IN THE PAST TEN YEARS? IF SO, PROVIDE DETAILS.

No.

43. HAS ANY BUSINESS OF WHICH YOU ARE OR WERE AN OFFICER, DIRECTOR, OR PARTNER BEEN A PARTY TO ANY ADMINISTRATIVE AGENCY PROCEEDING OR CRIMINAL OR CIVIL LITIGATION RELEVANT TO THE POSITION TO WHICH YOU HAVE BEEN NOMINATED? IF SO, PROVIDE DETAILS. (WITH RESPECT TO A BUSINESS OF WHICH YOU ARE OR WERE AN OFFICER, YOU NEED ONLY CONSIDER PROCEEDINGS AND LITIGATION THAT OCCURRED WHILE YOU WERE AN OFFICER OF THAT BUSINESS.)

No.

44. HAVE YOU EVER BEEN THE SUBJECT OF ANY INSPECTOR GENERAL INVESTIGATION? IF SO, PROVIDE DETAILS.

No.

PART F - SECURITY INFORMATION

45. HAVE YOU EVER BEEN DENIED ANY SECURITY CLEARANCE OR ACCESS TO CLASSIFIED INFORMATION FOR ANY REASON? IF YES, PLEASE EXPLAIN IN DETAIL.

No.

- 46. HAVE YOU BEEN REQUIRED TO TAKE A POLYGRAPH EXAMINATION FOR ANY SECURITY CLEARANCE OR ACCESS TO CLASSIFIED INFORMATION? IF YES, PLEASE EXPLAIN.
- Yes. I have taken polygraphs as part of the standard process of granting government clearances (for three agencies).
- 47. HAVE YOU EVER REFUSED TO SUBMIT TO A POLYGRAPH EXAMINATION? IF YES, PLEASE EXPLAIN.

No.

PART G - ADDITIONAL INFORMATION

48. DESCRIBE IN YOUR OWN WORDS THE CONCEPT OF CONGRESSIONAL OVERSIGHT OF U.S. INTELLIGENCE ACTIVITIES. IN PARTICULAR, CHARACTERIZE WHAT YOU BELIEVE TO BE THE OBLIGATIONS OF THE CHIEF INFORMATION OFFICER OF THE INTELLIGENCE COMMUNITY AND THE INTELLIGENCE COMMITTEES OF THE CONGRESS RESPECTIVELY IN THE OVERSIGHT PROCESS.

Congress provides two important functions --- they make the laws and provide oversight. Congressional oversight of the Intelligence Community is conducted primarily through the two intelligence committees. This oversight serves a number of important functions including, among other things, making sure that intelligence activities

comply with the Constitution and our laws, including the protection of privacy and civil liberties, and ensuring that the Intelligence Community operates effectively and efficiently in fulfilling its mission and maintaining the security of our nation. The Director of National Intelligence's basic obligations concerning the intelligence oversight process are found in Section 502 of the National Security Act of 1947, which requires the DNI to keep the two intelligence committees "fully and currently informed" of all U.S. intelligence activities (excepting covert actions that are covered in Section 503), including "significant anticipated intelligence activities" and "significant intelligence failures."

49. EXPLAIN YOUR UNDERSTANDING OF THE RESPONSIBILITIES OF THE CHIEF INFORMATION OFFICER OF THE INTELLIGENCE COMMUNITY.

The DNI has broad statutory authorities and responsibilities, some exercised through the Chief Information Officer of the Intelligence Community, for the development of an integrated, interoperable and interdependent information technology infrastructure across the intelligence community enterprise. The DNI has principal authority for intelligence information sharing, and to ensure maximum availability of and access to intelligence information within the intelligence community consistent with national security requirements. In the exercise of this statutory responsibility, the DNI is charged by law with the duty to establish common information technology standards, protocols and interfaces across the intelligence community, to ensure the development of information technology systems that include multi-level security and intelligence integration capabilities, and to develop an enterprise architecture for the intelligence community. The DNI is also responsible for ensuring that elements of the intelligence community comply with that architecture. In support of these efforts, the IC CIO, under the direction of the DNI, has a statutory responsibility to direct and manage all information technology related procurement for the intelligence community, and to manage activities relating to the information technology infrastructure and enterprise architecture requirements of the intelligence community. The IC CIO also has procurement approval authority over all information technology-related procurement for the intelligence community, and is responsible to ensure that all expenditures for information technology and research and development activities are consistent with the intelligence community enterprise architecture and the strategy of the DNI for such architecture.

AFFIRMATION

I, PRISCICLA EURARET CUTHRIC, DO SWEAR THAT THE ANSWERS I HAVE PROVIDED TO THIS QUESTIONNAIRE ARE ACCURATE AND COMPLETE.

(Name) $\frac{4(5/09)}{(\text{Date})}$

(Notary) 4.15.09 ****** FRANK ANGELO CARDAMONE NOTARY PUBLIC REGISTRATION # 7221935 COMMONWEALTH OF VIRGINIA MY COMMISSION EXPIRES FEBRUARY 29, 2012

Notarial Acknowledgement Certificate

Document: United States Senate, Select Committee on Intelligence, Questionnaire for Completion by Presidential Nominees

State of Virginia County of Fairfax

The foregoing instrument was acknowledged before me this 15th day of April, 2009, by Priscilla Elizabeth Guthrie. Virginia Drivers License, A60-00-4389 was produced as identification.

FRANK ANGELO CARDAMONE NOTARY PUBLIC REGISTRATION # 7221935 COMMONWEALTH OF VIRGINIA MY COMMISSION EXPIRES FEBRUARY 29, 2012

CARDAMONE FRANK ANGELO



TO THE CHAIRMAN, SELECT COMMITTEE ON INTELLIGENCE:

In connection with my nomination to be Chief Information Officer of the Intelligence Community, I hereby express my willingness to respond to requests to appear and testify before any duly constituted committee of the Senate.

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Signature

Date: 4/15/09